Inferring differential exon usage in RNA-Seq data with the DEXSeq package

Alejandro Reyes, Simon Anders, Wolfgang Huber

European Molecular Biology Laboratory (EMBL), Heidelberg, Germany

This vignette describes version 1.14.2 of the *DEXSeq* package.

Last revision of this document: 2015-08-25

Contents

1	Overview	2				
2	Preparations 2.1 Example data 2.2 Alignment 2.3 HTSeq 2.4 Preparing the annotation 2.5 Counting reads 2.6 Reading the data in to R	3 4 4 5				
3	Standard analysis work-flow 3.1 Loading and inspecting the example data 3.2 Normalisation 3.3 Dispersion estimation 3.4 Testing for differential exon usage	10 11				
4	Additional technical or experimental variables	15				
5	Visualization	18				
6	Parallelization	20				
7	Perform a standard differential exon usage analysis in one command 21					
AF	PENDIX	23				

Α	Preprocessing within R A.1 Further accessors A.2 Overlap operations						
В	Methodological changes since publication of the paper	25					
C	Requirements on GTF files						
D	Session Information	27					
Ε	References	28					

1 Overview

The Bioconductor package *DEXseq* implements a method to test for differential exon usage in comparative RNA-Seq experiments. By *differential exon usage* (DEU), we mean changes in the relative usage of exons caused by the experimental condition. The relative usage of an exon is defined as

The statistical method used by *DEXSeq* was introduced in our paper [1]. The basic concept can be summarized as follows. For each exon (or part of an exon) and each sample, we count how many reads map to this exon and how many reads map to any of the other exons of the same gene. We consider the ratio of these two counts, and how it changes across conditions, to infer changes in the relative exon usage (1). In the case of an inner exon, a change in relative exon usage is typically due to a change in the rate with which this exon is spliced into transcripts (alternative splicing). Note, however, that DEU is a more general concept than alternative splicing, since it also includes changes in the usage of alternative transcript start sites and polyadenylation sites, which can cause differential usage of exons at the 5' and 3' boundary of transcripts.

Similar as with differential gene expression, we need to make sure that observed differences of values of the ratio (1) between conditions are statistically significant, i. e., are sufficiently unlikely to be just due to random fluctuations such as those seen even between samples from the same condition, i. e., between replicates. To this end, *DEXSeq* assesses the strength of these fluctuations (quantified by the so-called *dispersion*) by comparing replicates before comparing the averages between the sample groups.

The preceding description is somewhat simplified (and perhaps over-simplified), and we recommend that users consult the paper [1] for a more complete description, as well as Appendix B of this vignette, which describes how the current implementation of *DEXSeq* differs from the original approach described in the paper. Nevertheless, two important aspects should be mentioned already here: First, *DEXSeq* does not actually work on the ratios (1), but on the counts in the numerator and denominator, to be able to make use of the information that is contained in the magnitude of count values. (3000 reads versus 1000 reads is the same ratio as 3 reads versus 1 read, but the latter is a far less reliable estimate of the underlying true value, because of statistical sampling.) Second, *DEXSeq* is not limited

to simple two-group comparisons; rather, it uses so-called generalized linear models (GLMs) to permit ANOVA-like analysis of potentially complex experimental designs.

2 **Preparations**

2.1 Example data

To demonstrate the use of *DEXSeq*, we use the *pasilla* dataset, an RNA-Seq dataset generated by Brooks et al. [2]. They investigated the effect of siRNA knock-down of the gene *pasilla* on the transcriptome of fly S2-DRSC cells. The RNA-binding protein *pasilla* protein is thought to be involved in the regulation of splicing. (Its mammalian orthologs, NOVA1 and NOVA2, are well-studied examples of splicing factors.) Brooks et al. prepared seven cell cultures, treated three with siRNA to knock down *pasilla* and left four as untreated controls, and performed RNA-Seq on all samples. They deposited the raw sequencing reads with the NCBI Gene Expression Omnibus (GEO) under the accession number GSE18508.¹

Executability of the code. Usually, Bioconductor vignettes contain automatically executable code, i. e., you can follow the vignette by directly running the code shown, using functionality and data provided with the package. However, it would not be practical to include the voluminous raw data of the pasilla experiment here. Therefore, the code in this section is not automatically executable. You may download the raw data yourself from GEO, as well as the required extra tools, and follow the work flow shown here and in the *pasilla* vignette [3]. From Section 3 on, code is directly executable, as usual. Therefore, we recommend that you just read this section, and try following our analysis in R only from the next section onwards. Once you work with your own data, you will want to come back and adapt the work flow shown here to your data.

2.2 Alignment

The first step of the analysis is to align the reads to a reference genome. It is important to align them to the genome, not to the transcriptome, and to use a splice-aware aligner (i. e., a short-read alignment tool that can deal with reads that span across introns) such as TopHat2 [4], GSNAP [5], or STAR [6]. The explanation of the analysis work-flow presented here starts with the aligned reads in the SAM format. If you are unfamiliar with the process of aligning reads to obtain SAM files, you can find a summary how we proceeded in preparing the pasilla data in the vignette for the *pasilla* data package [3] and a more extensive explanation, using the same data set, in our protocol article on differential expression calling [7].

¹http://www.ncbi.nlm.nih.gov/projects/geo/query/acc.cgi?acc=GSE18508

2.3 HTSeq

The initial steps of a *DEXSeq* analysis, described in the following two sections, is typically done outside R, by using two provided Python scripts. You do not need to know how to use Python; however you have to install the Python package *HTSeq*, following the explanations given on the HTSeq web page:

http://www-huber.embl.de/users/anders/HTSeq/doc/install.html

Once you have installed *HTSeq*, you can use the two Python scripts, dexseq_prepare_annotation.py (described in Section 2.4) and dexseq_count.py (Section 2.5), that come with the *DEXSeq* package. If you have trouble finding them, start R and ask for the installation directory with

```
pythonScriptsDir = system.file( "python_scripts", package="DEXSeq" )
list.files(pythonScriptsDir)
```

[1] "dexseq_count.py"

"dexseq_prepare_annotation.py"

The displayed path should contain the two files. If it does not, try to re-install *DEXSeq* (as usual, with biocLite).

An alternative work flow, which replaces the two Python-based steps with R=based code, is also available and is demonstrated in the vignette of the *parathyroidSE* package [8].

2.4 Preparing the annotation

The Python scripts expect a GTF file with gene models for your species. We have tested our tools chiefly with GTF files from Ensembl and hence recommend to prefer these, as files from other providers sometimes do not adhere fully to the GTF standard and cause the preprocessing to fail. Ensembl GTF files can be found in the "FTP Download" sections of the Ensembl web sites (i.e., Ensembl, EnsemblPlants, EnsemblFungi, etc.). Make sure that your GTF file uses a coordinate system that matches the reference genome that you have used for aligning your reads. (The safest way to ensure this is to download the reference genome from Ensembl, too.) If you cannot use an Ensembl GTF file, see Appendix C for advice on converting GFF files from other sources to make them suitable as input for the dexseq_prepare_annotation.py script.

In a GTF file, many exons appear multiple times, once for each transcript that contains them. We need to "collapse" this information to define *exon counting bins*, i. e., a list of intervals, each corresponding to one exon or part of an exon. Counting bins for parts of exons arise when an exonic region appears with different boundaries in different transcripts. See Figure 1 of the DEXSeq paper [1] for an illustration. The Python script dexseq_prepare_annotation.py takes an Ensembl GTF file and translates it into a GFF file with collapsed exon counting bins.

Make sure that your current working directory contains the GTF file and call the script (from the command line shell, not from within R) with

```
python /path/to/library/DEXSeq/python_scripts/dexseq_prepare_annotation.py
Drosophila_melanogaster.BDGP5.72.gtf Dmel.BDGP5.25.62.DEXSeq.chr.gff
```

In this command, which should be entered as a single line, replace /path/to.../python_scripts with the correct path to the Python scripts, which you have found with the call to system.file shown above. Drosophila_melanogaster.BDGP5.72.gtf is the Ensembl GTF file (here the one for fruit fly, already de-compressed) and Dmel.BDGP5.25.62.DEXSeq.chr.gff is the name of the output file.

In the process of forming the counting bins, the script might come across overlapping genes. If two genes on the same strand are found with an exon of the first gene overlapping with an exon of the second gene, the script's default behaviour is to combine the genes into a single "aggregate gene" which is subsequently referred to with the IDs of the individual genes, joined by a plus ('+') sign. If you do not like this behaviour, you can disable aggregation with the option "-r no". Without aggregation, exons that overlap with other exons from different genes are simply skipped.

2.5 Counting reads

For each SAM file, we next count the number of reads that overlap with each of the exon counting bins defined in the flattened GFF file. This is done with the script python_count.py:

```
python /path/to/library/DEXSeq/python_scripts/dexseq_count.py
Dmel.BDGP5.25.62.DEXSeq.chr.gff untreated1.sam untreated1fb.txt
```

This command (again, to be entered as a single line) expects two files in the current working directory, namely the GFF file produced in the previous step (here Dmel_flattened.py) and a SAM file with the aligned reads from a sample (here the file untreated1.sam with the aligned reads from the first control sample). The command generates an output file, here called untreated1fb.txt, with one line for each exon counting bin defined in the flattened GFF file. The lines contain the exon counting bin IDs (which are composed of gene IDs and exon bin numbers), followed by a integer number which indicates the number of reads that were aligned such that they overlap with the counting bin.

Use the script multiple times to produce a count file from each of your SAM files.

There are a number of crucial points to pay attention to when using the python_count.py script:

Paired-end data: If your data is from a paired-end sequencing run, you need to add the option "-p yes" to the command to call the script. (As usual, options have to be placed before the file names, surrounded by spaces.) In addition, the SAM file needs to be sorted, either by read name or by position. Most aligners produce sorted SAM files; if your SAM file is not sorted, use samtools sort -n to sort by read name (or samtools sort) to sort by position. (See e.g. reference [7], if you need further explanations on how to sort SAM files.) Use the option "-r pos" or "-r name" to indicate whether your paired-end data is sorted by alignment position or by read name.²

Strandedness: By default, the counting script assumes your library to be strand-specific, i.e., reads are aligned to the same strand as the gene they originate from. If you have used a library preparation protocol that does not preserve strand information (i.e., reads from a given gene can appear equally likely on either strand), you need to inform the script by specifying the option "-s no". If your library preparation protocol reverses the strand (i.e., reads appear on the strand opposite to their gene of

 $^{^{2}}$ The possibility to process paired-end data from a file sorted by position is based on recent contributions of Paul-Theodor Pyl to *HTSeq*.

origin), use "-s reverse". In case of paired-end data, the default (-s yes) means that the read from the first sequence pass is on the same strand as the gene and the read from the second pass on the opposite strand ("forward-reverse" or "fr" order in the parlance of the Bowtie/TopHat manual) and the options -s reverse specifies the opposite case.

SAM and BAM files: By default, the script expects its input to be in plain-text SAM format. However, it can also read BAM files, i.e., files in the the compressed binary variant of the SAM format. If you wish to do so, use the option "-f bam". This works only if you have installed the Python package *pysam*, which can be found at https://code.google.com/p/pysam/.

Alignment quality: The scripts takes a further option, -a to specify the minimum alignment quality (as given in the fifth column of the SAM file). All reads with a lower quality than specified (with default -a 10) are skipped.

Help pages: Calling either script without arguments displays a help page with an overview of all options and arguments.

2.6 Reading the data in to R

The remainder of the analysis is now done in R. We will use the output of the python scripts for the pasilla experiment, that can be found in the package pasilla. Open an R session and type:

```
inDir = system.file("extdata", package="pasilla")
countFiles = list.files(inDir, pattern="fb.txt$", full.names=TRUE)
countFiles
## [1] "/home/biocbuild/bbs-3.1-bioc/R/library/pasilla/extdata/treated1fb.txt"
## [2] "/home/biocbuild/bbs-3.1-bioc/R/library/pasilla/extdata/treated2fb.txt"
## [3] "/home/biocbuild/bbs-3.1-bioc/R/library/pasilla/extdata/treated3fb.txt"
## [4] "/home/biocbuild/bbs-3.1-bioc/R/library/pasilla/extdata/untreated1fb.txt"
## [5] "/home/biocbuild/bbs-3.1-bioc/R/library/pasilla/extdata/untreated1fb.txt"
## [6] "/home/biocbuild/bbs-3.1-bioc/R/library/pasilla/extdata/untreated2fb.txt"
## [7] "/home/biocbuild/bbs-3.1-bioc/R/library/pasilla/extdata/untreated3fb.txt"
## [7] "/home/biocbuild/bbs-3.1-bioc/R/library/pasilla/extdata/untreated4fb.txt"
flattenedFile = list.files(inDir, pattern="gff$", full.names=TRUE)
flattenedFile
```

```
## [1] "/home/biocbuild/bbs-3.1-bioc/R/library/pasilla/extdata/Dmel.BDGP5.25.62.DEXSeq.chr
```

Now, we need to prepare a sample table. This table should contain one row for each library, and columns for all relevant information such as name of the file with the read counts, experimental conditions, technical information and further covariates. To keep this vignette simple, we construct the table on the fly.

```
sampleTable = data.frame(
    row.names = c( "treated1", "treated2", "treated3",
        "untreated1", "untreated2", "untreated3", "untreated4" ),
    condition = c("knockdown", "knockdown", "knockdown",
```

```
"control", "control", "control", "control" ),
libType = c( "single-end", "paired-end", "paired-end",
"single-end", "single-end", "paired-end", "paired-end" ) )
```

While this is a simple way to prepare the table, it may be less error-prone and more prudent to used an existing table that had already been prepared when the experiments were done, save it in CSV format and use the R function read.csv to load it.

In any case, it is vital to check the table carefully for correctness.

```
sampleTable
## condition libType
## treated1 knockdown single-end
## treated2 knockdown paired-end
## treated3 knockdown paired-end
## untreated1 control single-end
## untreated3 control single-end
## untreated4 control paired-end
```

Our table contains the sample names as row names and the two covariates that vary between samples: first the experimental condition (factor condition with levels control and treatment) and the library type (factor libType), which we included because the samples in this particular experiment were sequenced partly in single-end runs and partly in paired-end runs.

For now, we will ignore this latter piece of information, and postpone the discussion of how to include such additional covariates to Section 4. If you have only a single covariate and want to perform a simple analysis, the column with this covariate should be named condition.

Now, we construct an *DEXSeqDataSet* object from this data. This object holds all the input data and will be passed along the stages of the subsequent analysis. We construct the object with the *DEXSeq* function DEXSeqDataSetFromHTSeq, as follows:

```
suppressPackageStartupMessages( library( "DEXSeq" ) )
```

```
dxd = DEXSeqDataSetFromHTSeq(
    countFiles,
    sampleData=sampleTable,
    design= ~ sample + exon + condition:exon,
    flattenedfile=flattenedFile )
```

The function takes four arguments. First, a vector with names of count files, i.e., of files that have been generated with the dexseq_count.py script. The function will read these files and arrange the count data in a matrix, which is stored in the *DEXSeqDataSet* object dxd. The second argument is our sample table, with one row for each of the files listed in the first argument. This information is simply stored as is in the object's meta-data slot (see below). The third argument is a formula of the form " sample + exon + condition:exon" that specifies the contrast with of a variable from the sample table

columns and the 'exon' variable. Using this formula, we are interested in differences in exon usage due to the 'condition' variable changes. Later in this vignette, we will how to add additional variables for complex designs. The fourth argument is a file name, now of the flattened GFF file that was generated with dexseq_prepare_annotation.py and used as input to dexseq_count.py when creating the count file.

There are other ways to get a *DEXSeq* analysis started. See Appendix A and Ref. [8] for details.

3 Standard analysis work-flow

3.1 Loading and inspecting the example data

To demonstrate the *DEXSeq* work flow, we will use the DEXSeqDataSet constructed in the previous section. However, in order to keep the run-time of this vignette small, we will subset the object to only a few genes.

```
genesForSubset = read.table(
  file.path(inDir, "geneIDsinsubset.txt"),
  stringsAsFactors=FALSE)[[1]]
```

```
dxd = dxd[geneIDs( dxd ) %in% genesForSubset,]
```

The *DEXSeqDataSet* class is derived from the *DESeqDataSet*. As such, it contains the usual accessor functions for the column data, row data, and some specific ones. The core data in an *DEXSeqDataSet* object are the counts per exon. Each row of the *DEXSeqDataSet* contains in each column the count data from a given exon ('this') as well as the count data from the sum of the other exons belonging to the same gene ('others'). This annotation, as well as all the information regarding each column of the *DEXSeqDataSet*, is specified in the colData.

```
colData(dxd)
```

##	Data	aFrame with	14 rows a	nd 4 columns	5
##		sample	condition	libType	exon
##		<factor></factor>	<factor></factor>	<factor></factor>	<factor></factor>
##	1	treated1	knockdown	single-end	this
##	2	treated2	knockdown	paired-end	this
##	3	treated3	knockdown	paired-end	this
##	4	untreated1	control	single-end	this
##	5	untreated2	control	single-end	this
##					• • •
##	10	treated3	knockdown	paired-end	others
##	11	untreated1	control	single-end	others
##	12	untreated2	control	single-end	others
##	13	untreated3	control	paired-end	others
##	14	untreated4	control	paired-end	others

##		[,1]	[,2]	[,3]	[,4]	[,5]	[,6]	[,7]	[,8]	[,9]	[,10]	[,11]	[,12]	
##	FBgn0000256:E001	92	28	43	54	131	51	49	1390	829	923	1115	2495	
##	FBgn0000256:E002	124	80	91	76	224	82	95	1358	777	875	1093	2402	
##	FBgn0000256:E003	340	241	262	347	670	260	297	1142	616	704	822	1956	
##	FBgn0000256:E004	250	189	201	219	507	242	250	1232	668	765	950	2119	
##	FBgn0000256:E005	96	38	39	71	76	57	62	1386	819	927	1098	2550	
##		[,13]	[,14	ŀ]										
##	FBgn0000256:E001	1054	: 105	52										
##	FBgn0000256:E002	1023	100)6										
##	FBgn0000256:E003	845	80)4										
##	FBgn0000256:E004	863	8 85	51										
##	FBgn0000256:E005	1048	103	39										

We can access the first 5 rows from the count data by doing,

head(counts(dxd), 5)

```
Notice that the number of columns is 14, the first seven (we have seven samples) corresponding to the number of reads mapping to out exonic regions and the last seven correspond to the sum of the counts mapping to the rest of the exons from the same gene on each sample.
```

```
split( seq_len(ncol(dxd)), colData(dxd)$exon )
```

```
## $this
## [1] 1 2 3 4 5 6 7
##
## $others
## [1] 8 9 10 11 12 13 14
```

We can also access only the first five rows from the count belonging to the exonic regions ('this') (without showing the sum of counts from the rest of the exons from the same gene) by doing,

```
head( featureCounts(dxd), 5 )
```

##	treated1	treated2	treated3	untreated1	untreated2	untreated3
## FBgn0000256:E001	92	28	43	54	131	51
## FBgn0000256:E002	124	80	91	76	224	82
## FBgn0000256:E003	340	241	262	347	670	260
## FBgn0000256:E004	250	189	201	219	507	242
## FBgn0000256:E005	96	38	39	71	76	57
##	untreated	14				
## FBgn0000256:E001	4	9				
## FBgn0000256:E002	ç	95				
## FBgn0000256:E003	29)7				
## FBgn0000256:E004	25	50				
## FBgn0000256:E005	6	52				

In both cases, the rows are labelled with gene IDs (here Flybase IDs), followed by a colon and the counting bin number. (As a counting bin corresponds to an exon or part of an exon, this ID is called

the *feature ID* or *exon ID* within *DEXSeq*.) The table content indicates the number of reads that have been mapped to each counting bin in the respective sample.

To see details on the counting bins, we also print the first 3 lines of the feature data annotation:

```
## GRanges object with 3 ranges and 5 metadata columns:
##
                      seqnames
                                           ranges strand
                                                             featureID
                                                                            groupID
##
                                        <IRanges> <Rle> | <character> <character>
                         <Rle>
                      chr2L [3872658, 3872947]
                                                       - |
##
     FBgn0000256:E001
                                                                  E001 FBgn0000256
##
     FBgn0000256:E002
                        chr2L [3873019, 3873322]
                                                       - |
                                                                  E002 FBgn0000256
     FBgn0000256:E003
                         chr2L [3873385, 3874395]
                                                       - |
                                                                  E003 FBgn0000256
##
##
                      exonBaseMean exonBaseVar transcripts
##
                         <numeric>
                                     <numeric>
                                                    <list>
##
    FBgn0000256:E001
                               64
                                          1251
                                                  ########
    FBgn0000256:E002
                               110
                                          2770
##
                                                  ########
    FBgn0000256:E003
                               345
                                         22148
##
                                                  ########
     _____
##
     seqinfo: 14 sequences from an unspecified genome; no seqlengths
##
```

So far, this table contains information on the annotation data, such as gene and exon IDs, genomic coordinates of the exon, and the list of transcripts that contain an exon.

The accessor function annotationData shows the design table with the sample annotation (which was passed as the second argument to DEXSeqDataSetFromHTSeq):

```
sampleAnnotation( dxd )
```

head(rowData(dxd), 3)

```
## DataFrame with 7 rows and 3 columns
##
        sample condition
                            libType
##
      <factor> <factor>
                           <factor>
      treated1 knockdown single-end
## 1
## 2
      treated2 knockdown paired-end
      treated3 knockdown paired-end
## 3
## 4 untreated1 control single-end
## 5 untreated2 control single-end
## 6 untreated3 control paired-end
## 7 untreated4
                 control paired-end
```

In the following sections, we will update the object by calling a number of analysis functions, always using the idiom " dxd = someFunction (dxd)", which takes the dxd object, fills in the results of the performed computation and writes the returned and updated object back into the variable dxd.

3.2 Normalisation

Different samples might be sequenced with different depths. In order to adjust for such coverage biases, we estimate *size factors*, which measure relative sequencing depth. *DEXSeq* uses the same method as

DESeq and DESeq2, which is provided in the function estimateSizeFactors.

dxd = estimateSizeFactors(dxd)

3.3 Dispersion estimation

To test for differential exon usage, we need to estimate the variability of the data. This is necessary to be able to distinguish technical and biological variation (noise) from real effects on exon usage due to the different conditions. The information on the strength of the noise is inferred from the biological replicates in the data set and characterized by the so-called *dispersion*. In RNA-Seq experiments the number of replicates is typically too small to reliably estimate variance or dispersion parameters individually exon by exon, and therefore, variance information is shared across exons and genes, in an intensity-dependent manner.

In this section, we discuss simple one-way designs: In this setting, samples with the same experimental condition, as indicated in the condition factor of the design table (see above), are considered as replicates – and therefore, the design table needs to contain a column with the name condition. In Section 4, we discuss how to treat more complicated experimental designs which are not accommodated by a single condition factor.

To estimate the dispersion estimates, *DEXSeq* uses the approach of the package *DESeq2*. Internally, the functions from DESeq2 are called, adapting the parameters of the functions for the specific case of the DEXSeq model. Briefly, per-exon dispersions are calculated using a Cox-Reid adjusted profile likelihood estimation, then a dispersion-mean relation is fitted to this individual dispersion values and finally, the fitted values are taken as a prior in order to shrink the per-exon estimates towards the fitted values. See the *DESeq2* paper for the rational behind the shrinkage approach [9].

```
dxd = estimateDispersions( dxd )
## using supplied model matrix
## using supplied model matrix
```

As a shrinkage diagnostic, the *DEXSeqDataSet* inherits the method plotDispEsts that allows us to plot the per-exon dispersion estimates versus the mean normalised count, the resulting fitted values and the *a posteriori* (shrinked) dispersion estimates (Figure 1).

plotDispEsts(dxd)

3.4 Testing for differential exon usage

Having the dispersion estimates and the size factors, we can now test for differential exon usage. For each gene, *DEXSeq* fits a generalized linear model with the formula

```
\sim sample + exon + condition:exon
```

and compare it to the smaller model (the null model)

```
\sim sample + exon.
```

(2)

(3)

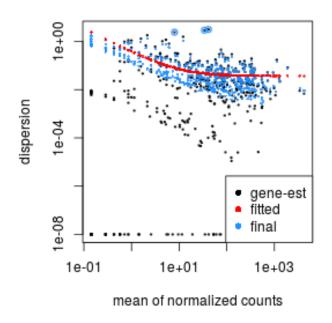


Figure 1: **Fit Diagnostics.** The initial per-exon dispersion estimates (shown by black points), the fitted mean-dispersion values function (red line), and the shrinked values in blue.

In these formulae (which use the standard notation for linear model formulae in *R*; consult a text book on *R* if you are unfamiliar with it), sample is a factor with different levels for each sample, condition is the factor of experimental conditions that we defined when constructing the *DEXSeqDataSet* object at the beginning of the analysis, and exon is a factor with two levels, this and others, that were specified when we generated our *DEXSeqDataSet* object. The two models described by these formulae are fit for each counting bin, where the data supplied to the fit comprise *two* read count values for each sample, corresponding to the two levels of the exon factor: the number of reads mapping to the bin in question (level this), and the sum of the read counts from all other bins of the same gene (level others). Note that this approach differs from the approach described in the paper [1] and used in older versions of *DEXSeq*; see Appendix B for further discussion.

Readers familiar with linear model formulae might find one aspect of Equation (2) surprising: We have an interaction term condition: exon, but denote no main effect for condition. Note, however, that all observations from the same sample are also from the same condition, i.e., the condition main effects are absorbed in the sample main effects, because the sample factor is nested within the condition factor.

The deviances of both fits are compared using a χ^2 -distribution, giving rise to a p value. Based on that, we can decide whether the null model (3) is sufficient to explain the data, or whether it may be rejected in favour of the alternative, model (2), which contains an interaction coefficient for condition: exon. The latter means that the fraction of the gene's reads that fall onto the exon under the test differs significantly between the experimental conditions.

The function testForDEU performs these tests for each exon in each gene.

```
dxd = testForDEU( dxd )
## using supplied model matrix
```

The resulting DEXSeqDataSet object contains slots with information regarding the test.

For some uses, we may also want to estimate relative exon fold changes. To this end, we call estimateExonFoldChanges. Exon usage fold changes are calculated by fitting for each gene, a GLM from the joint data of all its exons. The model frame can be found in the slot object@modelFrameBM of a *DEXSeqDataSet* object. The model " sample + fitExpToVar * exon" is fitted. The resulted coefficients are arranged and reformatted in order to remove gene expression effects (absorbed by the 'sample' variable in the formula), leaving only exon usage effects for each individual exon in each level of the parameter "fitExpToVar".

```
dxd = estimateExonFoldChanges( dxd, fitExpToVar="condition")
```

So far in the pipeline, the intermediate and final results have been stored in the meta data of a *DEXSeqDataSet* object, they can be accessed using the function mcol. In order to summarize the results without showing the values from intermediate steps, we call the function DEXSeqResults. The result is a *DEXSeqResults* object, which is a subclass of a *DataFrame* object.

```
dxr1 = DEXSeqResults( dxd )
dxr1
##
## LRT p-value: full vs reduced
##
## DataFrame with 498 rows and 13 columns
##
                         groupID
                                    featureID exonBaseMean dispersion
                                                                              stat
##
                     <character> <character>
                                                  <numeric>
                                                              <numeric> <numeric>
## FBgn0000256:E001 FBgn0000256
                                         E001
                                                         58
                                                                 0.0172
                                                                          9.2e-06
## FBgn0000256:E002 FBgn0000256
                                                                 0.0074
                                                                          1.6e+00
                                         E002
                                                        103
## FBgn0000256:E003 FBgn0000256
                                                        326
                                         E003
                                                                 0.0106
                                                                          3.5e-02
## FBgn0000256:E004 FBgn0000256
                                         E004
                                                        254
                                                                 0.0111
                                                                          1.7e-01
## FBgn0000256:E005 FBgn0000256
                                         E005
                                                         61
                                                                 0.0440
                                                                          2.9e-02
## ...
                                                        . . .
                                                                    . . .
                                          . . .
                                                                               . . .
## FBgn0261573:E012 FBgn0261573
                                         E012
                                                       23.1
                                                                  0.022
                                                                             8.40
## FBgn0261573:E013 FBgn0261573
                                                                  0.247
                                         E013
                                                        9.8
                                                                              1.16
## FBgn0261573:E014 FBgn0261573
                                         E014
                                                       87.5
                                                                  0.033
                                                                              1.12
## FBgn0261573:E015 FBgn0261573
                                         E015
                                                      268.3
                                                                  0.012
                                                                              2.58
## FBgn0261573:E016 FBgn0261573
                                         E016
                                                      304.2
                                                                  0.100
                                                                              0.15
##
                        pvalue
                                            control knockdown
                                     padj
##
                     <numeric> <numeric> <numeric> <numeric>
## FBgn0000256:E001
                          1.00
                                     1.00
                                                            11
                                                  11
## FBgn0000256:E002
                          0.21
                                     0.99
                                                  13
                                                             14
## FBgn0000256:E003
                          0.85
                                                  19
                                                             19
                                     1.00
```

						4.0		
	FBgn0000256:E004	0.68		.00		18	17	
	FBgn0000256:E005	0.86	1	.00		11	11	
##				•••		• • •		
	FBgn0261573:E012	0.0037		097		5.7	8.5	
	FBgn0261573:E013	0.2817	0.	992	6	5.0	3.8	
##	FBgn0261573:E014	0.2906	0.	992		3.2	12.0	
##	FBgn0261573:E015	0.1080	0.	946	18	3.4	17.3	
##	FBgn0261573:E016	0.7017	0.	999	18	3.9	18.2	
##		log2fold_cor	ntrol	_knc	ockdown			genomicData
##				<nu< td=""><td>umeric></td><td></td><td></td><td><granges></granges></td></nu<>	umeric>			<granges></granges>
##	FBgn0000256:E001				0.020	chr	2L:-:[387265	58, 3872947]
##	FBgn0000256:E002				-0.034	chr	2L:-:[387301	L9, 3873322]
##	FBgn0000256:E003				0.024	chr	2L:-:[387338	35, 3874395]
##	FBgn0000256:E004				0.036	chr	2L:-:[387445	50, 3875302]
##	FBgn0000256:E005				-0.013	chr	2L:-:[387889	95, 3879067]
##	• • •							• • •
##	FBgn0261573:E012				-0.356	chrX	:+:[19421654	1, 19421867]
##	FBgn0261573:E013				0.651	chrX	:+:[19422668	3, 19422673]
##	FBgn0261573:E014				0.142	chrX	:+:[19422674	1, 19422856]
##	FBgn0261573:E015				0.093	chrX	:+:[19422927	7, 19423634]
##	FBgn0261573:E016				0.060	chrX	:+:[19423707	7, 19424937]
##		countI)ata	trar	nscripts	3		
##		<matr< td=""><td>rix></td><td></td><td><list></list></td><td>></td><td></td><td></td></matr<>	rix>		<list></list>	>		
##	FBgn0000256:E001	92 28 43		#	#######	#		
##	FBgn0000256:E002	124 80 91		#	#######	#		
##	FBgn0000256:E003	340 241 262		#	#######	#		
##	FBgn0000256:E004	250 189 201		#	#######	#		
##	FBgn0000256:E005	96 38 39		#	#######	#		
##	• • •							
##	FBgn0261573:E012	37 23 38		#	+######	ŧ		
##	FBgn0261573:E013	836		#	+######	#		
##	FBgn0261573:E014	75 66 92		#	+######	ŧ		
	FBgn0261573:E015	264 234 245		#	+######	ŧ		
	FBgn0261573:E016			#	+######	ŧ		
	-							

The description of each of the column of the object *DEXSeqResults* can be found in the element meta data.

elementMetadata(dxr1)\$description
[1] "group/gene identifier"
[2] "feature/exon identifier"
[3] "mean of the counts across samples in each feature/exon"
[4] "exon dispersion estimate"
[5] "LRT statistic: full vs reduced"

```
## [6] "LRT p-value: full vs reduced"
## [7] "BH adjusted p-values"
## [8] "exon usage coefficient"
## [9] "exon usage coefficient"
## [10] "relative exon usage fold change"
## [11] "GRanges object of the coordinates of the exon/feature"
## [12] "matrix of integer counts, of each column containing a sample"
## [13] "list of transcripts overlapping with the exon"
```

From this object, we can ask how many genes are significant with a false discovery rate of 10%:

```
table ( dxr1$padj < 0.1 )
##</pre>
```

FALSE TRUE ## 421 17

We may also ask how many genes are affected

```
table ( tapply( dxr1$padj < 0.1, dxr1$groupID, any ) )
##
## FALSE TRUE
## 20 9</pre>
```

Remember that our example data set contains only a selection of genes. We have chosen these to contain interesting cases; so the fact that such a large fraction of genes is significantly affected here is not typical.

To see how the power to detect differential exon usage depends on the number of reads that map to an exon, a so-called MA plot is useful, which plots the logarithm of fold change versus average normalized count per exon and marks by red colour the exons which are considered significant; here, the exons with an adjusted p values of less than 0.1 (Figure 2). There is of course nothing special about the number 0.1, and you can specify other thresholds in the call to plotMA.

plotMA(dxr1, cex=0.8)

4 Additional technical or experimental variables

In the previous section we performed a simple analysis of differential exon usage, in which each sample was assigned to one of two experimental conditions. If your experiment is of this type, you can use the work flow shown above. All you have to make sure is that you indicate which sample belongs to which experimental condition when you construct the *DEXSeqDataSet* object (Section 2.6. Do so by means of a column called condition in the sample table.

If you have a more complex experimental design, you can provide different or additional columns in the sample table. You then have to indicate the design by providing explicit formulae for the test.

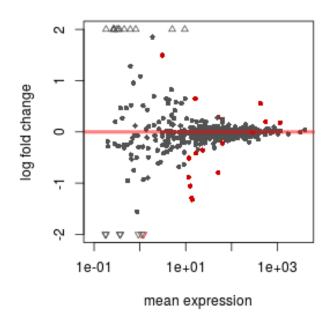


Figure 2: **MA plot.** Mean expression versus \log_2 fold change plot. Significant hits (at padj_i0.1) are coloured in red.

In the *pasilla* dataset, some samples were sequenced in single-end and others in paired-end mode. Possibly, this influenced counts and should hence be accounted for. We therefore use this as an example for a complex design.

When we constructed the *DEXSeqDataSet* object in Section 2.6, we provided in the sample table an additional column called libType, which has been stored in the object:

```
sampleAnnotation(dxd)
```

```
## DataFrame with 7 rows and 4 columns
##
         sample condition
                             libType sizeFactor
##
       <factor> <factor>
                            <factor>
                                      <numeric>
       treated1 knockdown single-end
## 1
                                            1.34
       treated2 knockdown paired-end
                                            0.80
## 2
       treated3 knockdown paired-end
                                            0.92
## 3
## 4 untreated1
                  control single-end
                                            0.99
## 5 untreated2
                  control single-end
                                            1.57
                  control paired-end
## 6 untreated3
                                            0.84
                  control paired-end
## 7 untreated4
                                            0.83
```

We specify two design formulae, which indicate that the libType *factor* should be treated as a blocking factor:

formulaFullModel = ~ sample + exon + libType:exon + condition:exon
formulaReducedModel = ~ sample + exon + libType:exon

Compare these formulae with the default formulae (2, 3) given in Section 3.4. We have added, in both the full model and the reduced model, the term libType:exon. Therefore, any dependence of exon usage on library type will be absorbed by this term and accounted for equally in the full and a reduced model, and the likelihood ratio test comparing them will only detect differences in exon usage that can be attributed to condition, independent of type.

Next, we estimate the dispersions. This time, we need to inform the estimateDispersions function about our design by providing the full model's formula, which should be used instead of the default formula (2).

```
dxd = estimateDispersions( dxd, formula = formulaFullModel )
```

The test function now needs to be informed about both formulae

```
dxd = testForDEU( dxd,
        reducedModel = formulaReducedModel,
        fullModel = formulaFullModel )
```

```
## using supplied model matrix
```

Finally, we get a summary table, as before.

dxr2 = DEXSeqResults(dxd)

How many significant DEU cases have we got this time?

```
table( dxr2$padj < 0.1 )
##
## FALSE TRUE
## 367 24</pre>
```

We can now compare with the previous result:

Accounting for the library type has allowed us to find six more hits, which confirms that accounting for the covariate improves power.

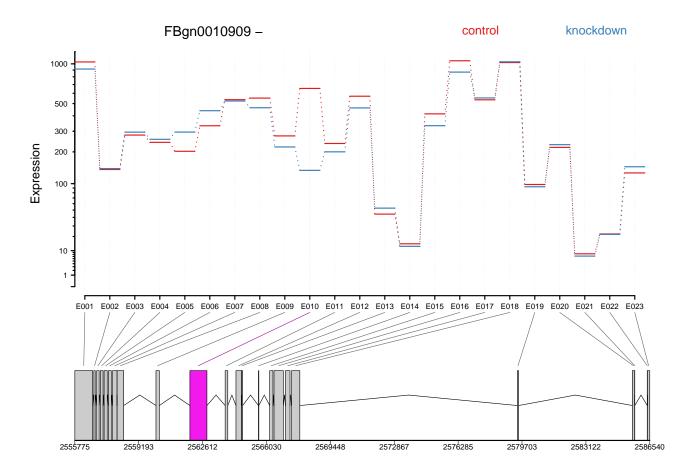


Figure 3: **Fitted expression.** The plot represents the expression estimates from a call to testForDEU. Shown in red is the exon that showed significant differential exon usage.

5 Visualization

The plotDEXSeq provides a means to visualize the results of an analysis.

```
plotDEXSeq( dxr2, "FBgn0010909", legend=TRUE, cex.axis=1.2, cex=1.3, lwd=2 )
```

The result is shown in Figure 3. This plot shows the fitted expression values of each of the exons of gene FBgn0010909, for each of the two conditions, treated and untreated. The function plotDEXSeq expects at least two arguments, the DEXSeqDataSet object and the gene ID. The option legend=TRUE causes a legend to be included. The three remaining arguments in the code chunk above are ordinary plotting parameters which are simply handed over to R's standard plotting functions. They are not strictly needed and included here to improve appearance of the plot. See the help page for par for details.

Optionally, one can also visualize the transcript models (Figure 4), which can be useful for putting differential exon usage results into the context of isoform regulation.

```
plotDEXSeq( dxr2, "FBgn0010909", displayTranscripts=TRUE, legend=TRUE,
    cex.axis=1.2, cex=1.3, lwd=2 )
```

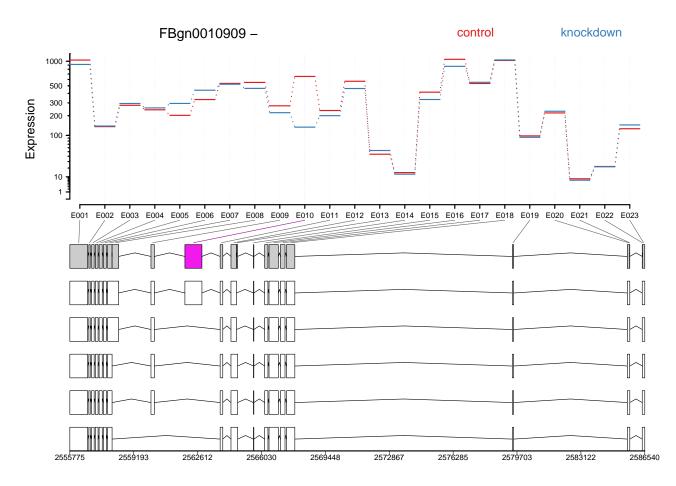


Figure 4: Transcripts. As in Figure 3, but including the annotated transcript models.

Other useful options are to look at the count values from the individual samples, rather than at the model effect estimates. For this display (option norCounts=TRUE), the counts are normalized by dividing them by the size factors (Figure 5).

As explained in Section 1, *DEXSeq* is designed to find changes in relative exon usage, i. e., changes in the expression of individual exons that are not simply the consequence of overall up- or down-regulation of the gene. To visualize such changes, it is sometimes advantageous to remove overall changes in expression from the plots. Use the (somewhat misnamed) option splicing=TRUE for this purpose.

To generate an easily browsable, detailed overview over all analysis results, the package provides an HTML report generator, implemented in the function *DEXSeqHTML*. This function uses the package *hwriter* [10] to create a result table with links to plots for the significant results, allowing a more detailed

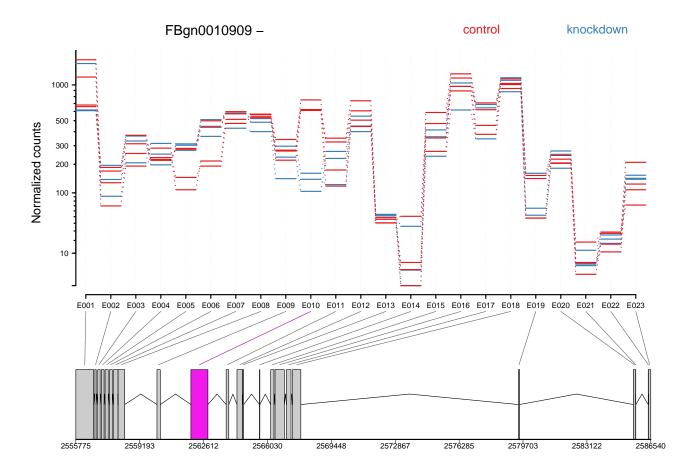


Figure 5: **Normalized counts.** As in Figure 3, with normalized count values of each exon in each of the samples.

exploration of the results.

```
DEXSeqHTML( dxr2, FDR=0.1, color=c("#FF000080", "#0000FF80") )
```

6 Parallelization

DEXSeq analyses can be computationally heavy, especially with data sets that comprise a large number of samples, or with genomes containing genes with large numbers of exons. While some steps of the analysis work on the whole data set, the computational load can be parallelized for some steps. We use the package *BiocParallel*, and implemented the BPPARAM parameter of the functions estimateDispersions, testForDEU and estimateExonFoldChanges:

```
BPPARAM = MultiCoreParam(workers=4)
dxd = estimateSizeFactors( dxd )
dxd = estimateDispersions( dxd, BPPARAM=BPPARAM)
dxd = testForDEU( dxd, BPPARAM=BPPARAM)
```

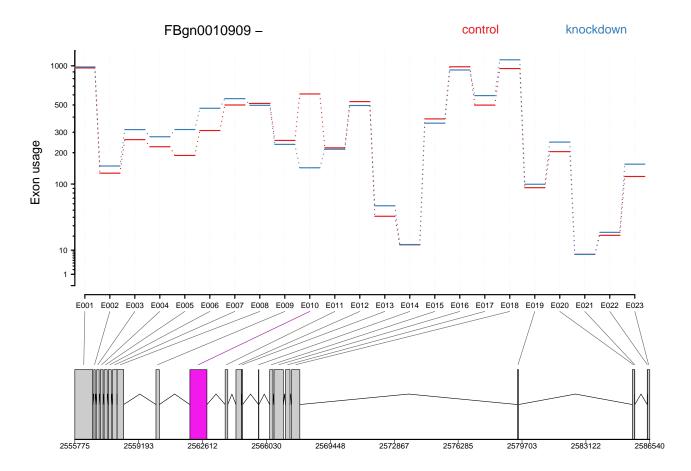


Figure 6: **Fitted splicing.** The plot represents the estimated effects, as in Figure 3, but after subtraction of overall changes in gene expression.

dxd = estimateExonFoldChanges(dxd, BPPARAM=BPPARAM)

7 Perform a standard differential exon usage analysis in one command

In the previous sections, we went through the analysis step by step. Once you are sufficiently confident about the work flow for your data, its invocation can be streamlined by the wrapper function DEXseq, which runs the analysis shown above through a single function call.

In the simplest case, construct the DEXSeqDataSet as shown in Section 2 or in Appendix A, then run DEXSeq passing the DEXSeqDataSet as only argument, this function will output a *DEXSeqResults* object.

```
dxr = DEXSeq(dxd)
## using supplied model matrix
```

```
## using supplied model matrix
## using supplied model matrix
class(dxr)
## [1] "DEXSeqResults"
## attr(,"package")
## [1] "DEXSeq"
```

APPENDIX

A Preprocessing within R

As an alternative to the approach described in Section 2, users can also create *DEXSeqDataSeq* objects from other *Bioconductor* data objects. The code for implementationg these functions was kindly contributed by Michael I. Love. For details, see the *parathyroidSE* package vignette [8]. The work flow is similar to the one using the *HTSeq* python scripts.

emphNote: The code in this section is not run when the vignette is built, as some of the commands have long run time. Therefore, no output is given.

We use functionality from the following Bioconductor packages

```
library(GenomicRanges)
library(GenomicFeatures)
library(GenomicAlignments)
```

We demonstrate the workflow briefly (for more details, see [8]) on the data set of Haglund et al. [11], which is provided as example data in the *parathyroidSE* data package.

First, we download the current human gene model annotation from Ensembl via Biomart and create a transcript data base from these. Note that this step takes some time.

Next, we collapse the gene models into counting bins, analogous to Section 2.4.

```
exonicParts = disjointExons( hse, aggregateGenes=FALSE )
```

As before, we have to choose how to handle genes with overlapping exons. The aggregateGenes option here plays the same role as the -r option to dexseq_prepare_anotation.py described at the end of Section 2.4. The exonicParts object contains a GRanges object with our counting bins. We use it to count the number of read fragments that overlap with the bins by means of the function summarizeOverlaps. To demonstrate this, we first determine the paths to the example BAM files in the *parathyroidSE* data package.

```
bamDir = system.file( "extdata", package="parathyroidSE", mustWork=TRUE )
fls = list.files( bamDir, pattern="bam$", full=TRUE )
```

Then, use the following code to count the reads overlapping the bins.

```
bamlst = BamFileList( fls, index=character(), yieldSize=100000, obeyQname=TRUE )
SE = summarizeOverlaps( exonicParts, bamlst, mode="Union", singleEnd=FALSE,
    ignore.strand=TRUE, inter.feature=FALSE, fragments=TRUE )
```

We can now call the function DEXSeqDataSetFromSE to build an DEXSeqDataSet object. We modify the colData slot in order to specify the sample annotation, indicating that the first two BAM files form one experimental condition and the third one the other. Then we create our DEXSeqDataSet object.

```
colData(SE)$condition = c("A", "A", "B")
DEXSeqDataSetFromSE( SE, design= ~ sample + exon + condition:exon )
```

A.1 Further accessors

The function geneIDs returns the gene ID column of the feature data as a character vector, and the function exonIDs return the exon ID column as a *factor*.

```
head( geneIDs(dxd) )
## [1] "FBgn0000256" "FBgn0000256" "FBgn0000256" "FBgn0000256"
## [6] "FBgn0000256"
head( exonIDs(dxd) )
## [1] "E001" "E002" "E003" "E004" "E005" "E006"
```

These functions are useful for subsetting an *DEXSeqDataSet* object.

A.2 Overlap operations

The methods subsetByOverlaps and findOverlaps have been implemented for the DEXSeqResults object, the query argument must be a *DEXSeqResults* object.

```
interestingRegion = GRanges("chr2L", IRanges(start=3872658, end=3875302))
subsetByOverlaps( query=dxr, subject=interestingRegion )
##
## LRT p-value: full vs reduced
##
## DataFrame with 4 rows and 16 columns
##
                        groupID
                                   featureID exonBaseMean dispersion
                                                                           stat
##
                    <character> <character>
                                                <numeric> <numeric> <numeric>
## FBgn0000256:E001 FBgn0000256
                                        E001
                                                       58
                                                               0.0172
                                                                        9.2e-06
## FBgn0000256:E002 FBgn0000256
                                        E002
                                                      103
                                                               0.0074
                                                                        1.6e+00
## FBgn0000256:E003 FBgn0000256
                                                      326
                                                               0.0106
                                                                        3.5e-02
                                        E003
## FBgn0000256:E004 FBgn0000256
                                        E004
                                                      254
                                                               0.0111
                                                                        1.7e-01
                       pvalue
##
                                    padj
                                           control knockdown
##
                    <numeric> <numeric> <numeric> <numeric>
## FBgn0000256:E001
                         1.00
                                    1.00
                                                          11
                                                11
## FBgn0000256:E002
                         0.21
                                    0.99
                                                13
                                                          14
## FBgn0000256:E003
                                                          19
                         0.85
                                    1.00
                                                19
```

```
## FBgn0000256:E004
                          0.68
                                     1.00
                                                  18
                                                            17
##
                     log2fold_control_knockdown control.1 knockdown.1
##
                                       <numeric> <numeric>
                                                               <numeric>
## FBgn0000256:E001
                                           0.020
                                                         11
                                                                      11
## FBgn0000256:E002
                                          -0.034
                                                         13
                                                                      14
## FBgn0000256:E003
                                           0.024
                                                         19
                                                                      19
## FBgn0000256:E004
                                           0.036
                                                         18
                                                                      17
##
                     log2fold_control_knockdown.1
                                                                    genomicData
##
                                         <numeric>
                                                                      <GRanges>
## FBgn0000256:E001
                                              0.020 chr2L:-: [3872658, 3872947]
## FBgn0000256:E002
                                            -0.034 chr2L:-: [3873019, 3873322]
## FBgn0000256:E003
                                             0.024 chr2L:-: [3873385, 3874395]
## FBgn0000256:E004
                                             0.036 chr2L:-: [3874450, 3875302]
##
                           countData transcripts
##
                            <matrix>
                                           <list>
## FBgn0000256:E001
                        92 28 43 ...
                                         ########
## FBgn0000256:E002
                       124 80 91 ...
                                         ########
## FBgn0000256:E003 340 241 262 ...
                                         ########
## FBgn0000256:E004 250 189 201 ...
                                         ########
findOverlaps( query=dxr, subject=interestingRegion )
## Hits object with 4 hits and 0 metadata columns:
##
         queryHits subjectHits
##
         <integer>
                      <integer>
     [1]
##
                  1
                               1
##
     [2]
                  2
                               1
     [3]
                  3
                               1
##
##
     [4]
                  4
                               1
##
     _____
##
     queryLength: 498
##
     subjectLength: 1
```

This functions could be useful for further downstream analysis.

B Methodological changes since publication of the paper

In our paper [1], we suggested to fit for each exon a model that includes separately the counts for all the gene's exons. However, this turned out to be computationally inefficient for genes with many exons, because the many exons required large model matrices, which are computationally expensive to deal with. We have therefore modified the approach: when fitting a model for an exon, we now sum up the counts from all the other exon and use only the total, rather than the individual counts in the model. Now, computation time per exon is independent of the number of other exons in the gene, which improved DEXSeq's scalability. While the p values returned by the two approaches are not

exactly equal, the differences were very minor in the tests that we performed.

For now, the function for our original approach (which we now call the "big model" or "BM" approach) are still included; all relevant functions, however, have been renamed to carry the suffix _BM in their name. The new approach, which is now default and is used by the work flow described in this vignette, has no special name (in some previous releases of *DEXSeq* which had included it first on an experimental basis, it was termed the "TRT" approach).

In the following, we describe the current default ("TRT") approach in detail (though the exposition assumes the reader's familiarity with our paper).

Deviating from the paper's notation, we now use the index i to indicate a specific counting bin, with i running through all counting bins of all genes. The samples are indexed with j, as in the paper. We write K_{ij0} for the count or reads mapped to counting bin i in sample j and K_{ij1} for the sum of the read counts from all other counting bins in the same gene. Hence, when we write K_{ijl} , the third index l indicates whether we mean the read count for bin i (l = 0) or the sum of counts for all other bins of the same gene (l = 1). As before, we fit a GLM of the negative binomial (NB) family

$$K_{ijl} \sim \text{NB}(\text{mean} = s_j \mu_{ijl}, \text{dispersion} = \alpha_i),$$
(4)

now with the model specified in Equation (2), which we write out as

$$\log_2 \mu_{ijl} = \beta_{ij}^{\mathsf{S}} + l\beta_i^{\mathsf{E}} + \beta_{i\rho_i}^{\mathsf{EC}}.$$
(5)

This model is fit separately for each counting bin *i*. The coefficient β_{ij}^{S} accounts for the sample-specific contribution (factor sample in Equation (2)), the term β_i^{E} is only included if l = 1 and hence estimates the logarithm of the ratio K_{ij1}/K_{ij0} between the counts for all other exons and the counts for the tested exon. As this coefficient is estimated from data from all samples, it can be considered as a measure of "average exon usage". In the R model formula, it is represented by the term exon with its two levels this (l = 0) and others (l = 1). Finally, the last term, β_{i,ρ_j}^{EC} , captures the interaction condition: exon, i.e., the change in exon usage if sample *j* is from experimental condition group $\rho(j)$. Here, the first condition, $\rho = 0$, is absorbed in the sample coefficients, i.e., β_{i0}^{EC} is fixed to zero and does not appear in the model matrix.

For the dispersion estimation, one dispersion value α_i is estimated with Cox-Reid-adjusted maximum likelihood using the full model given above. A mean-variance relation is fitted using the individual dispersion values. Finally, the individual values are shrinked towards the fitted values. For more details about this shrinkage approach look at the *DESeq2* vignette and/or its manuscript [9]. For the likelihood ratio test, this full model is fit and compared with the fit of the reduced model, which lacks the interaction term $\beta_{i\rho_i}^{\text{EC}}$. As described in Section 4, alternative model formulae can be specified.

C Requirements on GTF files

In the initial preprocessing step described in Section 2.4, the Python script dexseq_prepare_annotation.py is used to convert a GTF file with gene models into a GFF file with collapsed gene models. We recommend to use GTF files downloaded from Ensembl as input for this script, as files from other sources may deviate from the format expected by the script. Hence, if you need to use a GTF or GFF file from another source, you may need to convert it to the expected format. To help with this task, we here give details on how the dexseq_prepare_annotation.py script interprets a GFF file.

- The script only looks at exon lines, i.e., at lines which contain the term exon in the third ("type") column. All other lines are ignored.
- Of the data in these lines, the information about chromosome, start, end, and strand (1st, 4th, 5th, and 7th column) are used, and, from the last column, the attributes gene_id and transcript_id. The rest is ignored.
- The gene_id attribute is used to see which exons belong to the same gene. It must be called gene_id (and not Parent as in GFF3 files, or GeneID as in some older GFF files), and it must give the same identifier to all exons from the same gene, even if they are from different transcripts of this gene. (This last requirement is not met by GTF files generated by the Table Browser function of the UCSC Genome Browser.)
- The transcript_id attribute is used to build the transcripts attribute in the flattened GFF file, which indicates which transcripts contain the described counting bin. This information is needed only to draw the transcript model at the bottom of the plots when the displayTranscript option to plotDEXSeq is used.

Therefore, converting a GFF file to make it suitable as input to dexseq_prepare_annotation.py amounts to making sure that the exon lines have type exon and that the atributes giving gene ID (or gene symbol) and transcript ID are called gene_id and transcript_id, with this exact spelling. Remember to also take care that the chromosome names match those in your SAM files, and that the coordinates refer to the reference assembly that you used when aligning your reads.

D Session Information

The session information records the versions of all the packages used in the generation of the present document.

```
sessionInfo()
## R version 3.2.2 (2015-08-14)
## Platform: x86_64-pc-linux-gnu (64-bit)
## Running under: Ubuntu 14.04.3 LTS
##
## locale:
   [1] LC_CTYPE=en_US.UTF-8
                                    LC_NUMERIC=C
##
   [3] LC_TIME=en_US.UTF-8
                                    LC_COLLATE=C
##
    [5] LC_MONETARY=en_US.UTF-8
                                   LC_MESSAGES=en_US.UTF-8
##
    [7] LC_PAPER=en_US.UTF-8
##
                                    LC_NAME=C
   [9] LC_ADDRESS=C
                                    LC_TELEPHONE=C
##
## [11] LC_MEASUREMENT=en_US.UTF-8 LC_IDENTIFICATION=C
##
## attached base packages:
```

```
## [1] stats4
                 parallel
                                      graphics grDevices utils
                            stats
                                                                     datasets
## [8] methods
                 base
##
## other attached packages:
##
    [1] DEXSeq_1.14.2
                                   DESeq2_1.8.1
##
    [3] RcppArmadillo_0.5.400.2.0 Rcpp_0.12.0
    [5] GenomicRanges_1.20.5
                                   GenomeInfoDb_1.4.2
##
    [7] IRanges_2.2.7
##
                                   S4Vectors_0.6.3
    [9] Biobase_2.28.0
                                   BiocGenerics_0.14.0
##
## [11] BiocParallel_1.2.20
                                   knitr_1.11
##
## loaded via a namespace (and not attached):
   [1] genefilter_1.50.0
                              statmod_1.4.21
                                                    locfit_1.5-9.1
##
    [4] reshape2_1.4.1
                              splines_3.2.2
##
                                                   lattice_0.20-33
   [7] colorspace_1.2-6
                              survival_2.38-3
                                                   XML_3.98-1.3
##
## [10] foreign_0.8-66
                              DBI_0.3.1
                                                   RColorBrewer_1.1-2
## [13] lambda.r_1.1.7
                              plyr_1.8.3
                                                   zlibbioc_1.14.0
## [16] stringr_1.0.0
                              Biostrings_2.36.4
                                                   munsell_0.4.2
                                                   hwriter_1.3.2
## [19] gtable_0.1.2
                              futile.logger_1.4.1
                                                   latticeExtra_0.6-26
## [22] codetools_0.2-14
                              evaluate_0.7.2
## [25] geneplotter_1.46.0
                              biomaRt_2.24.0
                                                   AnnotationDbi_1.30.1
## [28] highr_0.5
                              proto_0.3-10
                                                   acepack_1.3-3.3
## [31] xtable_1.7-4
                              scales_0.3.0
                                                   formatR_1.2
## [34] Hmisc_3.16-0
                              annotate_1.46.1
                                                   XVector_0.8.0
## [37] Rsamtools_1.20.4
                              gridExtra_2.0.0
                                                   BiocStyle_1.6.0
## [40] ggplot2_1.0.1
                              digest_0.6.8
                                                    stringi_0.5-5
## [43] grid_3.2.2
                              tools_3.2.2
                                                   bitops_1.0-6
## [46] magrittr_1.5
                                                   RSQLite_1.0.0
                              RCurl_1.95-4.7
## [49] Formula_1.2-1
                                                   futile.options_1.0.0
                              cluster_2.0.3
## [52] MASS_7.3-43
                              rpart_4.1-10
                                                   nnet_7.3-10
```

E References

- [1] Simon Anders, Alejandro Reyes, and Wolfgang Huber. Detecting differential usage of exons from RNA-seq data. *Genome Research*, 22:2008, 2012. doi:10.1101/gr.133744.111.
- [2] Angela N. Brooks, Li Yang, Michael O. Duff, Kasper D. Hansen, Jung W. Park, Sandrine Dudoit, Steven E. Brenner, and Brenton R. Graveley. Conservation of an RNA regulatory map between Drosophila and mammals. *Genome Research*, 21:193–202, 2011. URL: http://genome.cshlp. org/cgi/doi/10.1101/gr.108662.110, doi:10.1101/gr.108662.110.
- [3] Alejandro Reyes. Data preprocessing and creation of the data objects pasillaGenes and pasillaExons. Vignette to Bioconductor data package *pasilla*, http://bioconductor.org/packages/

release/data/experiment/html/pasilla.html, 2013.

- [4] Daehwan Kim, Geo Pertea, Cole Trapnell, Harold Pimentel, Ryan Kelley, and Steven Salzberg. Tophat2: accurate alignment of transcriptomes in the presence of insertions, deletions and gene fusions. *Genome Biology*, 14(4):R36, 2013. URL: http://genomebiology.com/2013/14/4/R36, doi:10.1186/gb-2013-14-4-r36.
- [5] Thomas D. Wu and Serban Nacu. Fast and SNP-tolerant detection of complex variants and splicing in short reads. *Bioinformatics*, 26(7):873-881, 2010. URL: http://bioinformatics.oxfordjournals.org/content/26/7/873.abstract, arXiv: http://bioinformatics.oxfordjournals.org/content/26/7/873.full.pdf+html, doi:10.1093/bioinformatics/btq057.
- [6] Alexander Dobin, Carrie A. Davis, Felix Schlesinger, Jorg Drenkow, Chris Zaleski, Sonali Jha, Philippe Batut, Mark Chaisson, and Thomas R. Gingeras. STAR: ultrafast universal RNA-seq aligner. *Bioinformatics*, 29(1):15–21, 2013. URL: http://bioinformatics.oxfordjournals. org/content/29/1/15.abstract, arXiv:http://bioinformatics.oxfordjournals.org/ content/29/1/15.full.pdf+html, doi:10.1093/bioinformatics/bts635.
- [7] Simon Anders, Davis J. McCarthy, Yunshen Chen, Michal Okoniewski, Gordon K. Smyth, Wolfgang Huber, and Mark D. Robinson. Count-based differential expression analysis of RNA sequencing data using R and Bioconductor. *Nature Protocols*, 8:1765, 2013. doi:10.1038/nprot.2013.099.
- [8] Michael Love. SummarizedExperiment for RNA-Seq of primary cultures of parathyroid tumors by Haglund et al., J Clin Endocrinol Metab 2012. Vignette to Bioconductor data package parathyroidSE, http://bioconductor.org/packages/release/data/experiment/ html/parathyroidSE.html, 2013.
- [9] Michael I Love, Wolfgang Huber, and Simon Anders. Moderated estimation of fold change and dispersion for RNA-Seq data with DESeq2. *bioRxiv*, 2014. URL: http://biorxiv.org/ content/early/2014/02/19/002832, arXiv:http://biorxiv.org/content/early/2014/ 02/19/002832.full.pdf, doi:10.1101/002832.
- [10] Gregoire Pau and Wolfgang Huber. The hwriter package. The R Journal, 1:22, 2009. URL: http://journal.r-project.org/archive/2009-1/RJournal_2009-1_Pau+Huber.pdf.
- [11] Felix Haglund, Ran Ma, Mikael Huss, Luqman Sulaiman, Ming Lu, Inga-Lena Nilsson, Anders Höög, C. Christofer Juhlin, Johan Hartman, and Catharina Larsson. Evidence of a functional estrogen receptor in parathyroid adenomas. *Journal of Clinical Endocrinology & Metabolism*, 97(12), 2012. URL: http://jcem.endojournals.org/content/97/12/4631. abstract, arXiv:http://jcem.endojournals.org/content/97/12/4631.full.pdf+html, doi:10.1210/jc.2012-2484.